



PATENT  
TLC - 207D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AUG 21 2000

In re application of: Yong Wei, et al.

Serial No.: 09/429,694

Art Unit: 1615 TECH CENTER 1600/2900

Filing Date: October 27, 1999

Examiner: Kishore, G.

For: LIPOSOMAL CERAMIDE-RELATED LIPOSOMES AND THE  
THERAPETUIC USE THEREOF

Docket No. TLC -114CRIS

*9/Loss of  
Entitlement*

Hon. Commissioner of Patents  
and Trademarks  
Washington, DC 20231

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By: \_\_\_\_\_

Russell G. Lindenfeldar

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity, and that the claim for small entity status, set forth in the verified statement filed on October 27, 1999 is hereby withdrawn.

Respectfully submitted,

Russell G. Lindenfeldar  
Attorney for Applicants  
Reg. No. 39,750

Date: July 25, 2000

THE LIPOSOME COMPANY, INC.  
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GAU1615



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Examiner: G. Kishore

For: LIPOSOMAL CERAMIDE-RELATED LIPOSOMES AND THE  
THERAPEUTIC USE THEREOF

Docket No. TLC -144C RIS

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By:

  
Russell G. Lindenfeldar, Reg. No. 39,750

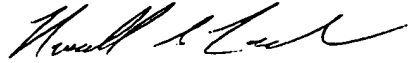
TRANSMITTAL LETTER

Enclosed herewith for filing in the U. S. Patent and Trademark Office are the following:

- Amendment;
- Terminal Disclaimer;
- Notification of Loss of Entitlement to Small Entity Status;
- Acknowledgement Postcard; and
- Certificate of First Class Mailing

Applicants request the total fee in the amount of \$110.00 be charged to Deposit Account No. 12-1635. Please credit any overpayment or charge any additional fee due to Deposit Account No. 12-1635.

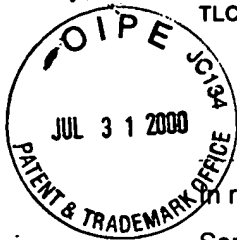
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By: Russell G. Lindenfeldar  
Russell G. Lindenfeldar  
Registration No. 39,750

**AMENDMENT**

Please amend this application as follows.

In the claims

Please amend claims 1, 16 and 27 as follows:

1. (amended) A liposome having a bilayer comprising a lipid component which comprises a compound having the formula  $R^1-Y^1-CHZ^1-CH(NY^2Y^3)-CH_2-Z^2$ , wherein:
- $R^1$  is a straight-chained alkyl, alkenyl or alkynyl group having from 5 to 19 carbon atoms in the aliphatic chain;
- $Y^1$  is  $-CH=CH-$ ,  $-C\equiv C-$  or  $-CH(OH)CH(OH)-$ ;
- each of  $Z^1$  and  $Z^2$  is independently  $OH$  or a conversion-inhibiting group;
- $Y^2$  is a phenyl group, an alkyl-substituted phenyl group having from 1 to about 6 carbons in the alkyl chain, or an alkyl chain having from 1 to 6 carbons;
- $Y^3$  is H or a group having the formula  $-C(O)R^2$  or  $-S(O)_2R^2$ ;